



# Job Description

## Senior Securities Attorney

### About Us

Jacko Law Group is a leading Securities, Regulatory and Corporate law firm dedicated to excellence, innovation, and client-focused solutions. With a strong commitment to our core values of Respect, Integrity, Teamwork and Excellence, we've been serving clients with unwavering dedication throughout the United States and internationally.

### Why Choose Jacko Law Group?

- **A Collaborative Environment:** We foster a culture of teamwork and collaboration, where your expertise will be valued and your voice will be heard.
- **Cutting-Edge Technology:** Our commitment to staying at the forefront of legal technology ensures that you will have the tools you need to excel.
- **Client-Centric Approach:** Our clients are our top priority, and your book of business will seamlessly integrate into our client-focused model.
- **Growth Opportunities:** Joining our team means opening doors to new opportunities, unlimited earning potential and continues professional development.

### Key Responsibilities

- **Transactional Expertise:** Lead and oversee complex securities transactions, including private placements, fund formations, and other investment-related agreements.
- **Regulatory Compliance:** Advise broker-dealers, RIAs, and private funds on compliance with federal and state securities laws, including the Securities Act of 1933, Securities Exchange Act of 1934, and Investment Advisers Act of 1940.
- **Team Leadership:** Mentor junior attorneys and collaborate with cross-functional teams to drive the firm's growth in securities transactional services.

- **Business Development:** Develop and maintain client relationships, including expanding the firm's securities client base through networking, thought leadership, and community engagement.
- **Drafting and Negotiation:** Prepare and negotiate offering documents, subscription agreements, regulatory filings, and compliance programs.

## Qualifications

- **Education:** Juris Doctor (JD) from an accredited law school.
- **Bar Admission:** Active membership in good standing with the California State Bar. Admission to multiple jurisdictions is a plus.
- **Experience:** Minimum of 8-10 years of securities transactional experience, with a focus on broker-dealer, RIA, and private fund clients.
- **Technical Knowledge:** Deep understanding of regulations affecting the financial industry including federal and state securities laws, FINRA and SEC regulations, in addition to corporate law matters, M&A, regulatory exams and corporate strategic advisement.
- **Skills:** Strong leadership, analytical, and interpersonal skills. Proven ability to manage multiple high-stakes matters simultaneously.
- **Client Service:** Demonstrated success in building and maintaining client relationships with a focus on exceptional service.

## What we are looking for

- Strong entrepreneurial spirit!
- A solid book of business exceeding \$400k that demonstrates commitment to client satisfaction and success.
- A passion for exceeding client expectations, developing new business and a desire to make a meaningful impact.
- Experience in managing a legal team or practice group.
- Published articles or speaking engagements on securities law topics.

## **Compensation and Benefits**

- Performance-based bonuses.
- Comprehensive benefits package, including health, dental, and retirement plans.
- Partnership track opportunities for qualified candidates.
- JLG is headquartered in San Diego and offers a hybrid work arrangement.
- Relocation will be provided for the right candidate.
- Salary range \$150-200k DOE, plus origination fees and equity/profit-sharing opportunities.

## **How to Apply**

If you are ready to take your career to the next level and become an integral part of our dynamic team, please submit your resume and cover letter detailing your qualifications to [jobs@jackolg.com](mailto:jobs@jackolg.com) **and** complete the Culture Index™ Survey by clicking on this link: <https://take.surveys.ci/s/6GhuE7127m/62879>.

Jacko Law Group, PC is an equal-opportunity employer and is committed to fostering a diverse and inclusive workplace.