



## JACKO LAW GROUP, PC

JLG is a full service corporate and securities law firm with comprehensive resources to handle your most challenging legal issues:

Corporate and Securities Litigation

General Counsel

Business Transitions (Mergers and Acquisitions)

Regulatory Examination Counsel

### Your Trusted Counsel

Our goal is to formulate a strategic partnership to explain applicable regulatory requirements, options and consequences to help you make critical decisions.

### A Strategic Advisor

We identify problems, advise on risk mitigation and provide strategic counsel.

### Helping Businesses Grow

Our team of professionals are devoted to finding solutions to advance your business.

### There is No "One Size Fits All"

At the onset of our engagement, we take time to understand your business model, define your objectives and outline a customized plan to achieve your desired results.

### LET'S GET STARTED

[info@jackolg.com](mailto:info@jackolg.com)

619.298.2880

[jackolg.com](http://jackolg.com)

**UNDERSTAND**

**DEFINE**

**ACHIEVE**



**JACKO LAW GROUP, PC**

## **CORPORATE AND SECURITIES LITIGATION**

When a business dispute arises, what separates successful outcomes from costly disruption is strategic advocacy backed by deep industry knowledge.

At Jacko Law Group, we aim to deliver strategic advocacy and cost-effective representation in high-stakes financial industry, commercial, and business disputes.

We stand by our clients whether at mediation, arbitration, litigation, or regulatory defense.

### **Why Focus on Litigation Preparedness?**

Litigation often follows internal or external events such as:

- Customer complaints that escalate beyond internal resolution
- Employee disclosures and U4/U5 filing disputes
- Regulatory investigations by FINRA, the SEC, or state agencies
- Business or shareholder disagreements

Our litigation team works seamlessly with compliance and risk mitigation to protect your firm's interests when disputes escalate.

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## OUR CORE LITIGATION SERVICES

We provide experienced representation across a broad range of corporate and securities disputes:

### **Pre-Litigation Counsel & Strategic Planning**

- Early issue assessments
- Regulatory risk analysis
- Pre-emptive positioning to avoid escalation

### **Arbitration & Mediation**

- Dispute resolution outside court
- Efficient, goal-oriented advocacy

### **General Litigation**

- Defense and prosecution of disputes in court
- Contract, shareholder, partner, and fiduciary disputes
- Complex commercial litigation tailored to your business priorities

### **U4/U5 Expungement & Related Matters**

- Assistance with regulatory filings and corrections
- Advocacy to clear inaccurate reporting or filings

### **SEC & FINRA Enforcement Representation**

- Defense in investigations and enforcement actions
- Regulatory compliance support during proceedings
- Coordination with compliance teams for documentation and witness preparation

### **Expert Witness Services**

- Access to industry specialists
- Support for technical or specialized issues in litigation

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## **WHY PARTNER WITH JACKO LAW GROUP**

We provide a comprehensive and tailored approach to each client, starting with building and maintaining strong internal protocols that protect against disputes and litigation. Should business disputes escalate, our team is ready to aggressively and successfully represent our clients' best interests.

We provide defense backed by years of experience in litigation, corporate and regulatory compliance.

Protecting what you've built starts with preparedness, but when disputes occur, you shouldn't face them alone.

### **Who We Represent**

We advocate for a wide range of market participants and corporate entities, including:

- Broker-dealers, RIAs, and investment advisory companies
- Officers, directors, trustees, and executives
- Private equity firms, hedge funds, and institutional investors
- Financial professionals
- Public and private companies nationwide

If you are facing litigation or would like to prevent a business dispute from escalating, please contact us to explore your options.

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